

# CERTIFICATE IN ISO 19600:2014 - COMPLIANCE MANAGEMENT SYSTEMS

*Develop Effective And Responsive Compliance Management Systems to Reduce Compliance Risks,  
Identify Opportunities, De-Risk The Organisation And Protect Organisation Value*

 **4-WEEK** ONLINE COURSE     **2 HOURS** PER WEEK  
**28 FEBRUARY – 27 MARCH 2021**

## Key Benefits of Attending

1. Gain a thorough understanding of the ISO 19600:2014 guidelines and understand the importance of ISO 19600:2014 at all levels of business
2. Acquire key concepts in order to identify, evaluate, treat emerging compliance risks
3. Conduct a review of your company's existing compliance management systems
4. Implement a holistic compliance framework aligned with the ISO 19600:2014 guidelines



**Afroditi Boura**

Senior Risk Manager &  
Senior Compliance Manager  
Capital Advantage

## OVERVIEW

Compliance, integrity and good governance have become an obligation and not a choice for the organisations.

Compliance is an outcome of an organisation meeting its obligations. It may sound and seem easy, but the complexity of the modern business environment, in combination with the emerging compliance risks create difficulties in achieving the strategic goals.

How do we achieve compliance? What is the role of the Board and the top management? What kind of compliance management systems do we need to have in place? How do we implement them and how do we evaluate their performance?

ISO 19600:2014 sets the international standard of Compliance Management Systems, which may apply to all companies of all sizes, across all industries. Application of its guidelines assists companies, Boards and Compliance Officers to create and enhance their compliance frameworks with consistency and customisation to their needs in order to achieve their business objectives.

This course will enable the attendees to create, review and enhance their Compliance Management Systems, their Compliance Framework and eventually their good governance, so as to identify opportunities, de-risk their organisations and protect their value.

The learning objectives will be further enhanced by the use of case studies, references, practical questions and quizzes throughout the course.

## WHO SHOULD ATTEND

- Compliance Managers and Compliance team
- Senior Management
- Internal and External Auditors
- Audit Committee Members
- COO and Operations Executives
- Risk Managers and Chief Risk Officer
- Chief Legal Officer and Legal team

## TARGETED COMPETENCIES

- Developing effective and responsive Compliance management systems within an organisation
- Implementing, evaluating, maintaining and improving the Compliance systems
- Reducing the compliance risk for the organisation
- Understanding how to integrate the Compliance management systems with the organisation's financial, risk, quality, health and safety management processes and operational requirements

## Earn your Digital Certification and broadcast your achievement to your peers



### ASSOCIATION



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The CPD Certification Service



Established in 1996, The CPD Certification Service is the independent CPD accreditation centre working across all sectors, disciplines and further learning applications and supports policies of institutional and professional organisations globally.

CPD is the term used to describe the learning activities professionals engage in to develop and enhance their abilities and keep skills and knowledge up to date. This course is an accredited Continuing Professional Development (CPD) training which means it meets CPD standards and benchmarks. The learning value has been scrutinised to ensure integrity and quality.

## COURSE REQUIREMENTS AND CERTIFICATES

**Participants must meet two criteria to be eligible for an Informa/CPD Certificate of Completion:**

- Successful completion of all modules of the 4-week online course
- Pass all module online assessments with a score of at least 70%



## WEEK 1

**Module 1: Introduction To ISO 19600:2014**

- Why organisations should adopt the ISO guidelines
- Terms and Definitions of ISO 19600:2014
- The benefits of compliance
- Module 1 Quiz

**Case study**

- Quiz of Module 1

**Assessment:** Multiple choice, timed

## WEEK 2

**Module 2: Context Of The Organisation**

- Understand the organisation and its context
- The role of the stakeholders and how they can influence the organisation
- Determine the scope of the compliance management systems
- Compliance and good governance
- Compliance obligations and compliance risks
  - Identification, maintenance, analysis and evaluation of compliance obligations and risks
- Leadership and top management
  - The role of the Board and top management with respect to the compliance management systems
  - Compliance policy: scope, principles, implementation, and improvement
  - Organisational roles, responsibilities, and authorities
- Quiz of Module 2

**Assessment:** Multiple choice, timed

## WEEK 3

**Module 3: Compliance Objectives And Achievement**

- How to plan and integrate the compliance management systems
- Resources and support for the achievement of the compliance objectives
- Operational planning and control
  - Establishing controls and procedures

**Case study**

- Quiz of Module 3

**Assessment:** Multiple choice, timed

## WEEK 4

**Module 4: Performance Evaluation Of The Compliance Management Systems**

- How to monitor, measure, analyse and evaluate the performance of the compliance management systems
  - Develop KPIs
  - Compliance reporting and content of the compliance reports
  - The role of Audit and management review
  - Improvement and corrective actions

**Case study**

- Quiz of Module 4

**Assessment:** Multiple choice, timed

## COURSE DIRECTORS



### Afroditi Boura

Senior Risk Manager, & Senior Compliance Manager  
Capital Advantage

Afroditi Boura is a member of the Association of Professionals of Regulatory Compliance of Greece, member of the Economic Chamber of Greece and a certified Compliance and Risk Manager from the EU. Afroditi holds a BA from University of Piraeus in Greece and certification for ISO31000:2018 as Risk Professional and as Trainer.

Afroditi has been registered as an Authorised Individual by the DFSA since 2017 and by the CMA in Kuwait since 2019 and has worked with a broad range of regulated entities in Middle East and Europe including insurance and reinsurance, healthcare, oil and energy, food and beverage, pharmaceutical, wholesale, brokerages, financial institutions, construction, telecommunication and automobile.

Afroditi also has wide experience at senior management level with government owned enterprises in the GCC and Europe.

## ONLINE PROGRAMMES

### 100% Online – Upskill with online courses and certifications from internationally renowned associations delivered by subject matter experts

Designed for busy professionals to learn on their own schedule, these digital, interactive and engaging educational experiences employ a variety of interactive learning techniques, including short high-impact videos, case studies, assessments, downloadable tools and templates, relevant articles and blogs, in addition to on-going trainer support.



#### Flexibility

You can access our content from just about anywhere. All videos are transcribed, which means you can search inside the videos, make notes and rank content. You will only need to dedicate 2 hours/week towards your training.



#### Lowered Costs

Prices for online programmes are cost effective as usual logistical burdens are waved.



#### On-going Trainer Support

You can ask questions to the trainer about any challenges you are facing via the forum.



#### Interactive Learning Forum

You can share learning experiences and knowledge with your fellow peers. You can ask questions, search and find additional useful information.



#### Global Network of Peers

Our online programmes create a forum for you to meet other professionals and interact with subject matter experts



#### Re-visit Material

You will have unlimited access to all course materials and the learning forum for an additional 1 month after course completion.

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Date	Book Before 20 December 2020	Book Before 24 January 2021	Final Fee
28 February – 27 March 2021 (VL157)	US\$ 1,200	US\$ 1,350	US\$ 1,500

Pricing excludes 5% VAT, charged where applicable.

## Delegate Details

First Name

Last Name

Job Title

Company

Address

Postal Code

Country

City

Tel/Fax

Mobile

Email

DISCOUNTS AVAILABLE FOR 2 OR MORE PEOPLE

Call: +971 4 408 2864  
Email: a.watts@informa.com

WANT TO RUN THIS COURSE IN-COMPANY?

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## WAYS TO REGISTER

Tel: +971 4 408 2894 | Email: [register-mea@informa.com](mailto:register-mea@informa.com)  
Mail: Informa Middle East Ltd. | PO Box 9428 - Dubai, UAE

- Offers are valid on full price  
final fee registration only

Not applicable in conjunction  
with corporate discounts

Payment to be settled before start  
of the course to avail the offer

Delegates who attend all sessions and successfully complete an assessment, will receive an Informa Certificate of Completion.

All registrations are subject to our terms and conditions which are available at [www.informa-mea.com/terms](http://www.informa-mea.com/terms). Please read them as they include important information. By submitting your registration, you agree to be bound by the terms and conditions in full.

## PAYMENTS

A confirmation letter and invoice will be sent upon receipt of your registration. Please note that full payment must be received prior to the event. Only those delegates whose fees have been paid in full will be admitted to the event.

## CANCELLATION

If you are unable to attend and you cancel your registration 5 days prior to the course start date, a replacement participant will be welcomed in your place, or a 20% charge will be applied in case the participant is a no-show.

Cancellation within 5 days of the course start date or if you fail to attend the event will be 100% payable. All cancellations must be sent by email to [register-mea@informa.com](mailto:register-mea@informa.com) marked for the attention of Customer Services Cancellation.

Due to unforeseen circumstances, Informa reserves the right to cancel the course, change the programme, alter the venue, speaker or topics.

All registrations are subject to acceptance by Informa Middle East which will be confirmed to you in writing.

For full details, please visit [www.informa-mea.com](http://www.informa-mea.com)

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